

# PRODUCTS

## BusinessObjects Watchlist Security

### Primary Benefits

**Comply**  
with the USA  
PATRIOT Act,  
OFAC, BSA, and  
other regulations

**Avoid**  
government-  
imposed penalties

**Protect**  
yourself and your  
company's  
reputation and  
mitigate risk

**Prevent**  
money laundering  
that funds criminal  
activity

**Reduce**  
staff workload and  
maximize  
productivity

**What kinds of firms  
need  
BusinessObjects  
Watchlist Security?**

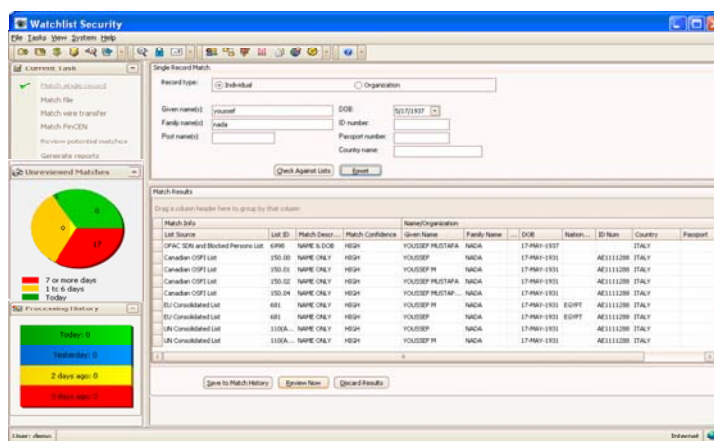
- Banks
- Credit unions
- Insurance companies
- Securities firms and brokerages
- Casinos/gaming
- Money services businesses
- Mortgage brokers
- Automobile dealers
- Corporate and legal firms
- Public sector organizations
- Any firm doing business internationally

### How Well Do You Really Know Your Customers?

Do you have potential or existing clients that put you and your organization at risk? Fines for noncompliance with interdiction (“watch”) list processing regulations are more common than at any point in history. Increased enforcement of the USA PATRIOT Act, Bank Secrecy Act (BSA), or the Office of Foreign Asset Control (OFAC) regulations is a key driver for a compliance solution that offers a better approach to watch list processing.

BusinessObjects™ Watchlist Security allows organizations to automatically match their internal company databases against government or association watch lists to uncover matches or suspect transactions. Verifying current business data against these lists ensures that organizations are not unknowingly supporting identified criminal suspects – such as terrorists, money launderers, drug traffickers, or individuals from sanctioned countries.

Compliance comes down to knowing your customers, and helping organizations achieve compliance is one of Business Objects core competencies. Built on two decades of data quality expertise, BusinessObjects Watchlist Security brings together demonstrated excellence in business rule development, data parsing, cleansing, and match logic – and offers a packaged compliance solution that can be deployed in a batch or real-time environment. This solution can also be integrated into other applications, such as call centers, registration systems, casino “cage” systems, banking, etc. In addition, Watchlist Security offers an easy-to-use interface designed to simplify the management of interdiction list projects.



*This real-time example shows how BusinessObjects Watchlist Security matched a prospective customer – using name and date of birth – against the OFAC, OSFI, EU Consolidated, and UN Consolidated lists.*

*Watchlist Security provides a “dashboard” view of matches that require review. It also ensures that your organization can report suspect transactions in a timely manner.*

### Watch List Compliance: A Strategic Advantage

BusinessObjects Watchlist Security is built on a data quality foundation that uses sophisticated data cleansing and matching technology to compare data stored in your systems with individuals, entities, or groups found on government or association watch lists.

**Check new and existing customers:**

**In batch**

**In real time**

**Integrated into other applications:**

- Call centers
- Casino "cage" systems
- Hotel registration systems
- Teller applications
- HR systems
- Loan application and processing systems

**Watchlist Security Reports**

*Watchlist Security provides reports that help prove due diligence in researching potential matches:*

**Accept List**  
keeps a list of those records that matched, were researched and found as false matches, and were accepted for doing business

**Audit Report**  
keeps all information on who used the software, what records were processed, and when they were processed

**Match History**  
documents information specific to business rules and demonstrates why records were identified as matches

Unlike solutions that use simple "exact" or "near exact" data comparisons and that require a great deal of manual processing to research "false positive" matches, BusinessObjects Watchlist Security intelligently analyses names, birth dates, passport numbers, social security numbers, and other personal-identifying information from a business transaction or corporate database. This "data quality approach" provides more consistent and accurate watch list processing, and supports a successful enterprise information management (EIM) strategy.

With a strong data quality foundation and powerful matching algorithms, organizations can decrease the number of false positives that need manual research—saving a great deal of time and effort. Business Objects automated solution helps meet regulation obligations and decreases the time it takes a compliance officer to find, research, and understand the possible "bad guys" or entities that match their files.

## Over 20 Lists Provided with Automatic List Update Retrieval

Watchlist Security provides over 20 watch lists. This solution even alerts you when a particular list has been updated and is ready for retrieval. All data is provided in a single format, which eliminates having to search the internet for updates to government lists and then manually formatting those lists. A small sample of supported lists includes:

- Bureau of Industry and Security (BIS) Denied Persons List (DPL/DOL)
- Canadian Government's Office of the Superintendent of Financial Institutions (OSFI) List
- European Union (EU) Consolidated List
- Federal Bureau of Investigation (FBI) Most Wanted Terrorists
- Financial Crimes Enforcement Network List (FinCEN)\*
- Office of Foreign Asset Control Specially Designated Nationals (OFAC SDN) and Blocked Persons List

## Ensure Watch List Compliance

Compliance regulations are always changing. So it's important to make watch list compliance a key part of your organization's EIM strategy. With BusinessObjects Watchlist Security, your organization can:

- Avoid corporate and personal penalties and the public embarrassment of noncompliance
- Increase productivity by automating interdiction list processing
- Take advantage of a data quality foundation that provides more reliable matching against watch lists

Don't put you and your organization at risk for fines, penalties, and embarrassment. Let BusinessObjects Watchlist Security help you simplify watch list processing and allow your staff to spend more time on revenue-generating activities. For more information, visit our website at [www.businessobjects.com](http://www.businessobjects.com).

\*FinCEN (Section 314) processing is provided via the software; however, the list itself is not. The FinCEN list is ONLY available to registered financial and insurance companies; these companies receive the list biweekly and can import it into BusinessObjects Watchlist Security for processing.

[www.businessobjects.com](http://www.businessobjects.com)

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